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SBCAG STAFF REPORT

SUBJECT: Highway 101:Carpinteria (4A) / Via Real-Santa Monica Intersection

MEETING DATE: November 18, 2021

AGENDA ITEM: 4C

STAFF CONTACT: Fred Luna

RECOMMENDATION:

Approve and authorize the Chair to execute Amendment No. 3 to the Cooperative Agreement (05-0343) with Caltrans for the design and right of way phases of the Highway 101: Carpinteria (Segment 4A) Project to update the information below the funding and spending tables without an increase in costs. The Board must approve additional contributions of Measure A funds for this Project, if required.

DISCUSSION:

In May 2018, SBCAG and Caltrans were awarded \$184 million in SB1 funding for construction of the first three segments from approximately Bailard Avenue on the south in Carpinteria to just beyond the Sheffield Interchange. Highway 101: Carpinteria (Segment 4A) was determined to be the priority and construction was started in April 2020 and is anticipated to be complete by Spring 2022. Of the \$184 million approved by the California Transportation Commission (CTC), about \$30 million was for parallel projects, one of which was a project to improve the intersection of Via Real and Santa Monica in the City of Carpinteria. The scope of this project includes creating a fully signalized intersection. Caltrans has integrated this project into its suite of Construction Manager General Contractor (CMGC) projects to be coordinated with Granite Construction and specifically the 4A construction. Construction on the Via Real/Santa Monica Intersection began in September 2021 and will be complete by end of the calendar year 2021.

In February 2019, the SBCAG board approved Cooperative Agreement 05-0343 which authorized funding provided by SBCAG from SB1 - Local Partnership Program Formula (LPP-F) and Measure A funds for the Project Approval and Environmental Document (PA&ED) phase, the Plans, Specifications and Estimate (PS&E) phase and the Right of way (ROW) phase. SBCAG also approved Resolution 19-02 which authorized the use of Measure A funds.

Two previous amendments have been approved to this cooperative agreement, some of which have moved funds from phases within the project and some have moved funds to another project since the cost of the overall cost of the project was reduced. Staff is seeking approval from the SBCAG Board of Amendment No. 3 which does not increase the funding level of the cooperative agreement but indicates that the PS&E phase funding \$690,000 is being used to fund pre-construction CMGC costs. The Funding Summary and Spending Summary tables which are part of the cooperative agreement have been amended to include notes which indicate that \$100,000 of the PS&E has been allocated for expenses related to pre-construction CMGC costs borne equally by SB1 - Local Partnership Program Formula (LPP-F) and Measure A funds. See Figure 1.

Figure 1- Cooperative Agreement (05-0343) Funding Table and Spending Summary

FUNDING SUMMARY NO. 04

1. PARTIES, in accordance with the provisions of this AGREEMENT, hereby amend this AGREEMENT by replacing Funding Summary No. 03 in its entirety with Funding Summary No. 04.
2. Funding Summary No. 3 amends the footnotes to allow for increased spending in CMGC Pre-Construction Services and Independent Cost Estimate.

FUNDING TABLE							
IMPLEMENTING AGENCY→			CALTRANS	CALTRANS	CALTRANS		Totals
Source	Party	Fund Type	PA&ED	PS&E	R/W SUPPORT	R/W CAPITAL	
STATE	SBCAG	SB1 LPP	375,000	345,000*	0	484,000	1,204,000
LOCAL	SBCAG	Local	375,000	345,000*	300,000	484,000	1,504,000
Totals			750,000	690,000	300,000	968,000	2,708,000

* Includes \$50,000 in SB1 LPP and \$50,000 in Local Funds for CMGC Pre-Construction Services and Independent Cost Estimate

SPENDING SUMMARY								
Fund Type	PA&ED		PS&E		R/W Support		R/W CAPITAL	Totals
	CALTRANS	SBCAG	CALTRANS	SBCAG	CALTRANS	SBCAG	CALTRANS	
SB1 LLP	375,000	0	345,000*	0	0	0	484,000	1,204,000
Local	375,000	0	345,000*	0	300,000	0	484,000	1,504,000
Totals	750,000	0	690,000	0	300,000	0	968,000	2,708,000

* Includes \$50,000 in SB1 LPP and \$50,000 in Local Funds for CMGC Pre-Construction Services and Independent Cost Estimate. These funds will be spent proportionately, and the PROJECT overall funding will not be increasing to accommodate the CMGC work.

The above recommended actions are not a "Project" under the California Environmental Quality (CEQA) Act under CEQA Guidelines section 15378.

COMMITTEE REVIEW: None.

ATTACHMENTS:

Attachment A Cooperative Agreement (05-0343) Amendment No.3 with Caltrans (web posting only)