

SBCAG STAFF REPORT

SUBJECT: FTA 5307 Subrecipient Agreement Amendment

MEETING DATE: September 16, 2021

AGENDA ITEM: 5G

STAFF CONTACT: Scott Spaulding

RECOMMENDATION:

Approve, ratify, and authorize the Chair to execute Amendment 1 to the Agreement with Santa Barbara MTD to allow SBCAG to receive \$400,000 in FTA Coronavirus Response and Relief Supplemental Appropriations Act funding to support the Clean Air Express service. Amendment 1 adds federal requirements without a change to the funding amount or to the period of performance from April 1, 2021 to June 30, 2024. The Agreement includes 3 one-year options to extend the agreement to June 30, 2027.

SUMMARY:

In response to the COVID-19 pandemic, on several occasions the federal government provided significant additional funding to the Federal Transit Administration (FTA) for distribution to transit agencies throughout the country to compensate agencies for reduced fare revenue and higher operating costs. A total of \$54.7 million was provided to the three FTA 5307 recipients in Santa Barbara County—Santa Barbara MTD, the City of Lompoc, and the City of Santa Maria. Only existing FTA funding recipients received this funding. SBCAG is not currently a direct FTA funding recipient.

Commuter bus services like the Clean Air Express that rely on fare revenue to fund the majority of operating costs were especially impacted financially by the pandemic. In 2020, Staff worked with the three FTA 5307 recipients to develop a process for each agency to contribute a portion of the COVID-related transit funding each agency received to SBCAG to support the Clean Air Express service. MTD, the City of Lompoc, and the City of Santa Maria each agreed to contribute \$400,000 to support the service. Because SBCAG has an existing FTA subrecipient agreement with the City of Santa Maria, the City's support was provided in FY 20/21.

Staff worked with MTD to develop an FTA subrecipient agreement to govern the District's contribution that the Board approved in July. Subsequent to the board's approval of the agreement, MTD requested an amendment that includes a table of required information. This table constitutes the entirety of Amendment 1. The amended agreement was approved by the MTD board on September 7.

ATTACHMENTS:

- A. FTA Subrecipient Agreement Amendment 1 with MTD

ATTACHMENT A
Amendment 1
Santa Barbara Metropolitan Transit District
Subrecipient Agreement for Federal Transit Administration Assistance

Required Agreement Information	
Information	Comment
Federal award identification	
Subrecipient name (which must match the name associated with its unique entity identifier)	Santa Barbara County Association of Governments
Subrecipient's unique entity identifier (DUNS)	789509382
Federal award identification number (FAIN)	CA-2021-100-00
Federal award date of award to the recipient by the Federal agency	7/15/2021
Subaward period of performance start and end date	4/20/2021 & 6/30/2022
Amount of Federal funds obligated by this action by the pass-through entity to the subrecipient	\$400,000
Total amount of Federal funds obligated to the subrecipient by the pass-through entity including the current obligation	\$400,000
Total amount of the Federal award committed to the subrecipient by the pass-through entity	\$400,000
Federal award description as required to be responsive to the Federal Funding Accountability and Transparency Act	Operating Assistance for the Santa Barbara County Association of Governments (SBCAG) for their Clean Air Express contracted commuter service, as a subrecipient to SBMTD, from April 20, 2021 through June 30, 2022.
Name of Federal awarding agency, pass-through entity, and contact information for awarding official of the pass-through entity	Federal Transit Administration, Santa Barbara Metropolitan Transit District, Jerry Estrada jestrada@sbmtd.gov
Catalog of Federal Domestic Assistance (CFDA) number and name; the pass-through entity must identify the dollar amount made available under each Federal award	20507, 5307 - Urbanized Area Formula Grants (CRRSAA), \$4,481,888
Identification of whether the award is research and development (R&D)	The award is not R & D
Indirect cost rate for the Federal award (including if the application of the de minimis rate per §200.414 Indirect (F&A) costs)	n/a

Pass-through Requirements:	
All requirements imposed by the pass-through entity on the subrecipient so that the Federal award is used in accordance with Federal statutes, regulations and the terms and conditions of the Federal award, i.e. federally required clauses	See Clause 2.a.through 2.q. of the Agreement
Any additional requirements that the pass-through entity imposes on the subrecipient in order for the pass-through entity to meet its own responsibility to the Federal awarding agency including identification of any required financial and performance reports	See Clause 2.a.through 2.q. of the Agreement
Indirect Costs: If a federally approved indirect cost rate is negotiated between the subrecipient and the Federal government, this rate must be used. If no such rate exists, the subrecipient may either negotiate a rate with the pass-through entity and the subrecipient (in compliance with this part), or elect the de minimis indirect cost rate as defined in §200.414 Indirect (F&A) costs, paragraph (f), if eligible.	n/a
A requirement that the subrecipient permit the pass-through entity and auditors to have access to the subrecipient's records and financial statements as necessary for the pass-through entity to meet the requirements of this part	See Clause 2.j. of the Agreement
Appropriate terms and conditions concerning closeout of the subaward	See Clause 3.g. of the Agreement

This Amendment to the Agreement incorporates the FTA Master Agreement by reference. This Amendment to the Agreement is entered into on the 7 day of September, 2021 by and between the Santa Barbara Metropolitan Transit District ("RECIPIENT") and the Santa Barbara County Association of Governments ("SUBRECIPIENT") in Santa Barbara, California.

Recipient
Santa Barbara
Metropolitan Transit District

Subrecipient
Santa Barbara County
Association of Governments



Jerry Estrada
General Manager, MTD

Holly Sierra
Chair, SBCAG