

SBCAG STAFF REPORT

SUBJECT: State Transit Assistance Fiscal Year 2019-20

MEETING DATE: November 19, 2020

AGENDA ITEM: 4H

STAFF CONTACT: Martha Gibbs

RECOMMENDATION:

Adopt Resolution 20-25 approving \$5,255,062 in State Transit Assistance (STA) funds, including interest earnings, to local claimants for FY 2019-20 to be claimed by amending FY 2020-21 Transportation Development Claims.

DISCUSSION:

The State Transit Assistance (STA) program is one of two state transit-funding programs under the Transportation Development Act (TDA). Based on the Fiscal Year (FY) 2019-20 State Transit Assistance (STA) funds received, a total of \$5,255,062 is available for apportionment this fiscal year (FY 2020-21) as detailed in Attachment A. This includes a balance of \$385,696 in STA interest earnings available for allocation. The SBCAG Board recently approved a revised estimate of \$18.63 million in funding allocations for the other TDA program, the Local Transportation Fund, in September 2020.

STA funds can be used for transit capital or transportation planning purposes. STA funds can only be used for transit operations if claimants satisfy the STA qualifying criteria mandated by state law. State Transit Assistance funds are generated from sales tax on diesel fuel and gasoline, and are allocated pursuant to Public Utilities Code (PUC) Sections 99313 and 99314. The State Controller apportions Section 99313 funds to the Santa Barbara County region based on statewide population. SBCAG, as the Regional Transportation Planning Agency, in turn apportions the funds by population between four transit planning areas in the Santa Barbara County region: Santa Barbara South Coast, Santa Maria Valley, Lompoc Valley and Santa Ynez/Cuyama Valleys. Local agencies and transit operators work cooperatively to develop their claims so that the total funds claimed from each planning area will not exceed the apportionment for that planning area. PUC Section 99314 funds are apportioned directly by the State Controller to transit operators based on fare revenues. Resolution 20-25 (Attachment B) allocates both 99313 and 99314 funds to local agencies.

The recommended action is not a project under the California Environmental Quality Act (CEQA) pursuant to section 15378 of the CEQA Guidelines.

TTAC RECOMMENDATION:

TTAC unanimously recommended approval of the STA apportionments at is October 1, 2020 meeting.

ATTACHMENTS:

- A. Apportionment of FY 2019-20 State Transit Assistance Funds
- B. Resolution 20-24

ATTACHMENT A

APPORTIONMENT OF STATE TRANSIT ASSISTANCE FUNDS FISCAL YEAR 2019-20

Amended Claim FY 2020-21

PUC SECTION 99313 (Population Based Apportionments)				
Apportionment Area	Eligible Claimants	Population	% of Total Population	FY 2019-20 Apportionments
South Coast	Easy Lift			\$ 97,818
	SBMTD			1,858,540
	Santa Barbara	93,511		
	Carpinteria	13,335		
	Goleta	32,223		
	County	75,681		
	<i>Subtotal</i>	214,750	47.52786%	\$ 1,956,358
Santa Maria Valley	SMOOTH			\$ 68,879
	Santa Maria	107,407		1,238,758
	Guadalupe	8,081		69,937
	County	35,729		
	<i>Subtotal</i>	151,217	33.46684%	\$ 1,377,573
Lompoc Valley	Lompoc	43,786		\$ 547,896
	County	16,357		
	<i>Subtotal</i>	60,143	13.31060%	\$ 547,896
Santa Ynez & Cuyama Valleys	Buellton	5,464		\$ 49,777
	Solvang	5,562		173,288
	County:			11,342
	S.Y.Valley Uninc.	13,460		
	Cuyama Valley	1,245		
	<i>Subtotal</i>	25,731	5.69470%	\$ 234,407
Total to Claimants		451,840	100.00000%	\$ 4,116,234

PUC SECTION 99314 (Revenue Based Apportionments)	
Claimant	FY 2019-20 Apportionments
County	\$ 23
Guadalupe	5,163
Lompoc	73,703
Santa Maria	57,969
Solvang	5,313
SBMTD	923,552
SBCAG-Clean Air Express	73,105
T o t a l	\$ 1,138,828

Population figures from DOF (Jan 2020)

Funds are from FY 19-20 but received fully in FY 20-21

ATTACHMENT B

RESOLUTION OF THE SANTA BARBARA COUNTY ASSOCIATION OF GOVERNMENTS

ALLOCATION OF FISCAL YEAR 2019-20
STATE TRANSIT ASSISTANCE FUNDS IN
FISCAL YEAR 2020-21

RESOLUTION NO. 20-25

WHEREAS, the Mills-Alquist-Deddeh Act, known as the Transportation Development Act (TDA), was enacted by the California Legislature to improve existing public transportation services and to encourage regional transportation coordination by providing funding to be allocated to transit and non-transit related purposes that comply with regional transportation plans (PUC § 99200 et seq; 21 CCR § 6600 et seq); and

WHEREAS, TDA established two funding sources; the Local Transportation Fund (LTF), and the State Transit Assistance (STA) fund; and

WHEREAS, pursuant to Public Utilities Code¹ section 99312.1, subdivision (c), STA funding can only be used for (1) transit capital projects or services to maintain or repair existing vehicle fleet or facilities, (2) design, acquisition, or construction of new vehicles or facilities, or (3) transit services that complement local efforts for repair and improvement of local transportation infrastructure; and

WHEREAS, pursuant to Section 99312.1, subdivision (d)(1), prior to receiving an apportionment of funds pursuant to subdivision (c) from the Controller in a fiscal year, a recipient transit agency shall submit to the Department of Transportation a list of projects proposed to be funded with these funds.

WHEREAS, the Santa Barbara County Association of Governments has created a State Transit Assistance Fund pursuant to Section 99313.6 to deposit all monies allocated and transmitted to it by the State Controller; and

WHEREAS, fiscal year (FY) 2019-20 State Transit Assistance funds in the amount of \$5,255,062 including a carryover balance of STA interest earnings are available for allocation in FY 2020-21; and

WHEREAS, eligible agencies have submitted claims for use of these FY 2019-20 funds which are available in FY 2020-21; and

WHEREAS, the claims submitted by the Santa Barbara Metropolitan Transit District, Easy Lift Transportation Inc., SMOOTH, Solvang (for Santa Ynez Valley Transit), County of Santa

¹ All section references to the Public Utilities Code unless otherwise indicated.

Barbara, Santa Barbara County Association of Governments (for the Clean Air Express) and the cities of Santa Maria, Guadalupe, Lompoc and Buellton have been evaluated and found to be consistent with the applicable provisions of the Public Utilities Code and Title 21 of the California Code of Regulations; and

WHEREAS, Easy Lift Transportation, Inc. and SMOOTH are designated as Consolidated Transportation Service Agencies in accordance with Section 99204.5; and

NOW, THEREFORE IT IS HEREBY RESOLVED THAT the Santa Barbara County Association of Governments as the Transportation Planning Agency for Santa Barbara County does hereby allocate monies from the State Transit Assistance Fund to the following claimants according to the terms and conditions set forth below:

Allocation No. 21-1-STA

Allocation: \$ 1,858,540
 923,552
Purpose: \$ 2,782,092
Terms & Conditions:

Santa Barbara Metropolitan Transit District

(Section 99313.3)
(Section 99314.3)
(Transit Capital – 21 CCR § 6730(b))
Payment shall be made upon receipt of approved documentation supporting the request for funds.

Allocation No. 21-2-STA

Allocation: \$ 97,818
Purpose: \$ 97,818
Terms & Conditions:

Easy Lift Transportation Inc.

(Section 99313.3)
(Transit CTSA – 21 CCR § 6731.1)
Payment shall be made upon receipt of approved documentation supporting the request for funds.

Allocation No. 21-3-STA

Allocation: \$ 1,238,758
 57,969
Purpose: \$ 1,296,727
Terms & Conditions:

City of Santa Maria

(Section 99313.3)
(Section 99314.3)
(Transit Capital – 21 CCR § 6730(b))
Payment shall be made upon receipt of approved documentation supporting the request for funds.

Allocation No. 21-4-STA

Allocation: \$ 547,896
 73,703
Purpose: \$ 621,599
Terms & Conditions:

City of Lompoc

(Section 99313.3)
(Section 99314.3)
(Transit Capital – 21 CCR § 6730(b))
Payment shall be made upon receipt of approved documentation supporting the request for funds.

Allocation No. 21-5-STA

Allocation: \$ 173,288
 5,313

Purpose: \$ 178,601

Terms & Conditions:

City of Solvang (for SYVT)

(Section 99313.3)

(Section 99314.3)

(Transit Capital – 21 CCR § 6730(b))

Payment shall be made upon receipt of approved documentation supporting the request for funds.

Allocation No. 21-6-STA

Allocation: \$ 49,777
Purpose: \$ 49,777

Terms & Conditions:

City of Buellton

(Section 99313.3)

(Contracted Transit Services – 21 CCR § 6731(b))

Payment shall be made upon receipt of approved documentation supporting the request for funds.

Allocation No. 21-7-STA

Allocation: \$ 69,937
 5,163

Purpose: \$ 75,100

Terms & Conditions:

City of Guadalupe

(Section 99313.3)

(Section 99314.3)

(Transit Operating- 21 CCR 6730(a))

Payment shall be made upon receipt of approved documentation supporting the request for funds.

Allocation No. 21-8-STA

Allocation: \$ 68,879
Purpose: \$ 68,879

Terms & Conditions:

SMOOTH

(Section 99313.3)

(Transit CTSA – 21 CCR § 6731.1)

Payment shall be made upon receipt of approved documentation supporting the request for funds.

Allocation No. 21-9-STA

Allocation: \$ 73,105
Purpose: \$ 73,105

Terms & Conditions:

Santa Barbara County Association of Governments (for Clean Air Express)

(Section 99314.3)

(Transit Operating- 21 CCR 6730(a))

Payment shall be made upon receipt of approved documentation supporting the request for funds.

Allocation No. 21-10-STA

Allocation: \$ 11,342
 23

Purpose: \$ 11,365

Terms & Conditions:

County of Santa Barbara

(Section 99313.3)

(Section 99314.3)

(Community Transit- 21 CCR 6731(d))

Payment shall be made upon receipt of approved documentation supporting the request for funds.

BE IT FURTHER RESOLVED THAT the Santa Barbara County Association of Governments, in pursuant to Title 21 of the California Code of Regulations, section 6754, in allocating these funds, finds that:

1. Claimants' proposed expenditures as described in the individual claims are in conformance with the Regional Transportation Plan.
2. The level of passenger fares and charges as described in the individual claims is sufficient to enable the claimant to meet the fare revenue requirements of Public Utilities Code Sections 99268.2, 99268.3, 99268.4, 99268.5 and 99268.9 as they may be applicable to the claimant.
3. The claimants are making full use of federal funds available under the Urban Mass Transportation Act of 1964, as amended.
4. The sum of each claimant's allocations from the State Transit Assistance Fund and from the Local Transportation Fund as described in the individual claims does not exceed the amount the claimant is eligible to receive during the fiscal year.
5. Priority consideration has been given to claims to offset unanticipated increases in the cost of fuel, to enhance existing public transportation services, and to meet high-priority regional, countywide, or area-wide public transportation needs.
6. A certification by the Department of the California Highway Patrol verifying that the operator is in compliance with section 1808.1 of the Vehicle Code, as required in Public Utilities Code section 99251. The certification has been completed within the last 13 months, prior to filing claims.
7. Each operator requesting STA funds for operating purposes has satisfied the qualifying criteria as specified in Public Utilities Code Section 99314.6.

BE IT FURTHER RESOLVED THAT each operator has made a reasonable effort to implement the productivity improvements recommended pursuant to Public Utilities Code Section 99244. The specific improvements recommended and efforts made by the operators to implement them are described in the individual claims.

BE IT FURTHER RESOLVED THAT the Santa Barbara County Association of Governments, in allocating these funds, finds that:

1. Each operator is receiving from the Local Transportation Fund not less than the amount it received during the 1978-79 fiscal year (21 CCR § 6633.2).
2. The claimants are not precluded from employing part-time drivers or contracting with common carriers of persons operating under a franchise or license (PUC § 99314.5).

PASSED AND ADOPTED this 19th day of November 2020 by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

ATTEST:

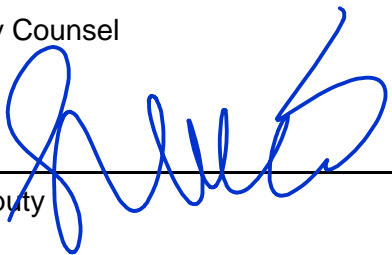
Marjie C. Kirn
Executive Director

Gregg Hart, Chair
Santa Barbara County
Association of Governments

APPROVED AS TO FORM:

Michael C. Ghizzoni
County Counsel

By _____
Deputy

A handwritten signature in blue ink, appearing to be "Michael C. Ghizzoni", is written over a horizontal line. The signature is fluid and cursive.