

SBCAG STAFF REPORT

SUBJECT: Highway 101: Carpinteria to Santa Barbara (Segment 4B)

MEETING DATE: November 18, 2020

AGENDA ITEM: 4D

STAFF CONTACT: Fred Luna

RECOMMENDATION:

1. Adopt Resolution 20-26 (Attachment A) approving Cooperative Agreement (05-0383) with Caltrans for the Highway 101: Carpinteria to Santa Barbara Project – Segment 4B and acknowledge appropriation of funds; and
2. Approve and authorize the Chair to execute Cooperative Agreement (05-0383) (Attachment B) with Caltrans for the construction phase of the Highway 101: Carpinteria to Santa Barbara Project - Segment 4B. The total Agreement amount is \$180,270,000, including SBCAG's contribution of \$29,100,000 in local funds comprised of \$970,000 from the Highway 101 reserve of Regional Surface Transportation Program (RSTP), and \$28,130,000 from Measure A; and
3. Approve and authorize the Executive Director to execute amendments to the funding summary pursuant to Article 18 of the Agreement that will increase State Highway Operation and Protection Program (SHOPP) funds, OR, shift funding contributions between non-SHOPP funding lines and between construction support and construction capital so long as it does not result in an increase to the overall funding contribution by SBCAG, upon review and concurrence by legal counsel. The Board must approve contributions from additional funding sources, such as Measure A, if required.

DISCUSSION:

In March 2017, the SBCAG board approved implementation of the first three segments (4A to 4C) of the Highway 101: Carpinteria to Santa Barbara project. In May 2018, Caltrans and SBCAG received funding for construction under SB1 Cycle 1 for all three segments. Of these three, Highway 101: Carpinteria (4A) was programmed in FY 19/20 and the project was delivered with construction starting in April 2020. Highway 101: Summerland (4C) started construction in early November 2020. Segment 4B: Padaro has funds programmed in the current FY 20/21 and is scheduled to have the funds voted in May 2021 with construction starting in June 2021.

Caltrans is the design lead for the Highway 101: Padaro (4B) segment and they recently completed the 95% PS&E (detailed design) submittal milestone in September 2020. In addition to the design, Caltrans is working on elements related to obtaining right of way certification, including the utility relocation agreements, obtaining easements and other related items.

Some of the remaining milestones and activities leading up to construction in June 2021, are shown in Table 1 below.

**Table 1
Project Milestones**

Milestone	Date
Regulatory Permits	12/15/2020
Final PS&E	1/15/2021
Right of Way Certification	1/15/2021
Ready to List	2/15/2021
Agreed Price with CMGC Contractor	4/1/2021
CTC Votes Funds	5/12/2021
Start Construction	6/3/2021

Caltrans Cooperative Agreement for Construction 05-0383

Construction of the Highway 101: Padaro segment will be administered by Caltrans. Caltrans is the lead agency for working with Granite Construction during construction. Highway 101: Padaro includes a complete reconstruction of the South Padaro Undercrossing and ramps, structures at Arroyo Paredon Creek and Toro Creek, drainage and ramp improvements, and some sound walls. More information can be found at www.SBROADS.com.

Having an approved cooperative agreement is necessary to be able to meet the Ready to List (RTL) milestone, which is scheduled for February 2021. The agreement uses the funding information based on the existing programming. However, the CMGC contract process, has outlined reaching an agreed price with the contractor in April 2021, which may result in a future amendment to the cooperative agreement. The total costs are intended to cover contract items work, contingencies, state furnished materials, supplemental work and TMP items such as public outreach. The work to provide mitigation planting and landscaping is also included but this work will be implemented under a separate contract. The total construction phase costs of \$180.27 million for Highway 101: Padaro are noted in Table 2 below by cost category.

**Table 2
Construction Phase Costs**

Cost Category	Amount (\$ millions)
Construction Capital - Contract Items, Contingencies, and Landscaping	\$148.41
Construction Support	\$31.86
Total Project	\$180.27

Caltrans and SBCAG are sharing in the costs for the Highway 101: Padaro project. Approximately 75% of the funding is coming from State sources such as SB1 funds from the Solutions for Congested Corridors (SCCP) program and from the State Highway Operation and Protection Program (SHOPP). There is about \$5.25 million in regional gas tax funds programmed for construction support and the remaining funding is from local sources SBCAG is a funding / co-sponsor for the construction phase of Segment 4C and thus a cooperative agreement is required with Caltrans to document the specific fund contributions, as well as roles and responsibilities.

Table 3 below is the funding breakdown for all the anticipated funding sources and the agency that has “sponsored” the funding.

**Table 3
Construction Phase Funding**

Cost Category	Sponsoring Agency	Amount (\$ millions)
SHOPP	Caltrans	\$84.11
SB1- Solutions for Congested Corridors	Caltrans	\$61.81
Subtotal Caltrans / State		\$145.92
Measure A Sales Tax	SBCAG	\$28.13
Regional Improvement Program (RIP)	SBCAG	\$5.25
Regional Surface Transportation Program (RSTP)	SBCAG	\$0.97
Subtotal SBCAG / Local		\$34.35
Total Project		\$180.27

Staff is recommending that the SBCAG board adopt resolution 20-26 and approve and authorize the chair to sign cooperative agreement 05-0383 with Caltrans for the construction phase of Highway 101: Padaro (4B).

The above recommended actions are not a “Project” under the California Environmental Quality (CEQA) Act under CEQA Guidelines section 15378.

ATTACHMENT:

- A. Resolution 20-26
- B. Cooperative Agreement 05-0383 with Caltrans (web posting only)

**RESOLUTION OF THE
SANTA BARBARA COUNTY ASSOCIATION OF GOVERNMENTS**

AUTHORIZE THE CHAIR OF THE SBCAG BOARD TO SIGN COOPERATIVE AGREEMENT NO. 05-0383 WITH THE CALIFORNIA DEPARTMENT OF TRANSPORTATION AND TO ACKNOWLEDGE SBCAG HAS APPROPRIATED MEASURE A FUNDING FOR THE HWY 101 PROJECT.

RESOLUTION NO. 20-26

WHEREAS the Santa Barbara County Association of Governments (SBCAG) and the California Department of Transportation (CALTRANS) are authorized to enter into a cooperative agreement for improvements to the State Highway System (SHS) per the California Streets and Highways Code sections 114 and 130;

WHEREAS SBCAG is the designated Local Transportation Authority for Santa Barbara County and thus administers all funds collected as a result of the passage of the “Road Repair, Traffic Relief and Transportation Safety Measure for Santa Barbara County” Transportation Investment Plan (Measure A);

WHEREAS, included in Measure A was a project called “Highway 101 Widening Carpinteria to Santa Barbara;”

WHEREAS, on March 16, 2017, the SBCAG Board approved implementation recommendations for Highway 101 including integration of the Highway 101 HOV Project with the Highway 101 rehabilitation improvements, collectively referred to as the Highway 101: Carpinteria to Santa Barbara Project (HWY 101 PROJECT). The HWY 101 PROJECT has been divided into five Segments 4A through 4E for implementation purposes;

WHEREAS, on August 17, 2017, the SBCAG Board approved a Memorandum of Understanding (MOU) with CALTRANS for work to be done on the HWY 101 PROJECT and identified the need for the execution of future Cooperative Agreements to define roles, responsibilities and funding including CALTRANS’ role to provide oversight for segments of Phase 4 of the HWY 101 PROJECT;

WHEREAS, Segment 4B of the HWY 101 PROJECT in the unincorporated areas of the County of Santa Barbara, between the community of Summerland and the City of Carpinteria, is fully funded for the construction (CONST) phase and is ready to begin construction in the summer 2021;

WHEREAS, CALTRANS will be the implementing agency for the CONST phase Segment 4B of the HWY 101 PROJECT;

WHEREAS, SBCAG is a co-sponsor for the non-SHOPP eligible CONST of Segment 4B of the HWY 101 PROJECT and is committed to providing funds from the Solutions for Congested Corridors (SCCP) program, Measure A Sales Tax Revenue (MEASURE A), and Regional Surface Transportation Program (RSTP), **and the regional share of the State Transportation Improvement Program (STIP);**

WHEREAS, SBCAG desires to enter Cooperative Agreement No. 05-0383 with CALTRANS to provide SCCP, MEASURE A, RSTP, and STIP funding for the non-SHOPP eligible CONST phase of the HWY 101 PROJECT;

WHEREAS, the non-SHOPP funds to be provided by SBCAG are programmed in FY 20/21 and that in cooperation with CALTRANS will need to have the allocation of the SCCP funds approved by the California Transportation Commission (CTC) in FY 20/21 prior to anticipated construction;

WHEREAS, SBCAG has approved and appropriated MEASURE A funds for the HWY 101 PROJECT in SBCAG's Overall Work Plan (OWP) and Budget for FY 20/21;

NOW, THEREFORE, IT IS HEREBY RESOLVED THAT the Santa Barbara County Association of Governments appropriated SCCP, MEASURE A, RSTP, STIP for HWY 101 PROJECT in SBCAG's OWP and Budget for FY 20/21;

BE IT FURTHER RESOLVED THAT the Santa Barbara County Association of Governments hereby authorizes the SBCAG Chair of the Board of Directors to sign and execute Cooperative Agreement No. 05-0383.

PASSED AND ADOPTED this 19th of November 2020 by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

ATTEST:

Marjie Kirn
Executive Director

Gregg Hart, Chair
Santa Barbara County
Association of Governments

APPROVED AS TO FORM:
Michael C. Ghizzoni
County Counsel

Deputy County Counsel