

## SBCAG STAFF REPORT

**SUBJECT:** State Transit Assistance Fiscal Year 2016/17

**MEETING DATE:** January 18, 2018

**AGENDA ITEM:** 4F

**STAFF CONTACT:** Anne Jensen

### RECOMMENDATION:

Adopt Resolution 18-03 approving \$2,184,049 in State Transit Assistance (STA) funds, including interest earnings, to local claimants for FY 16/17 to be claimed in FY 17/18.

### DISCUSSION:

The State Transit Assistance (STA) program is one of two state transit-funding programs under the Transportation Development Act (TDA). Based on the Fiscal Year (FY) 16/17 State Transit Assistance (STA) funds received, a total of \$2,184,049 is available for apportionment this fiscal year (FY 17/18), detailed in Attachment A. This includes a carryover balance of \$122,317 in STA interest earnings available for apportionment. The SBCAG Board approved \$17.922 million in funding allocations for the other TDA program, the Local Transportation Fund, at their June 2017 meeting.

STA funds can be used for transit capital or transportation planning purposes. STA funds can only be used for transit operations if claimants satisfy the STA qualifying criteria mandated by state law.

State Transit Assistance funds are generated from sales tax on diesel fuel and gasoline, and are allocated pursuant to Public Utilities Code (PUC) Sections 99313 and 99314. The State Controller apportions Section 99313 funds to the Santa Barbara County region based on statewide population. SBCAG, as the Regional Transportation Planning Agency, in turn apportions the funds by population between four transit planning areas in the Santa Barbara County region: Santa Barbara South Coast, Santa Maria Valley, Lompoc Valley and Santa Ynez/Cuyama Valleys. Local agencies and transit operators work cooperatively to develop their claims so that the total funds claimed from each planning area will not exceed the apportionment for that planning area. PUC Section 99314 funds are apportioned directly by the State Controller to transit operators based on fare revenues. Resolution 18-03 (Attachment B) allocates both 99313 and 99314 funds to local agencies.

*The recommended action is not a project under the California Environmental Quality Act (CEQA) pursuant to section 15378 of the CEQA Guidelines.*

**TTAC RECOMMENDATION:**

TTAC unanimously recommended approval of the State Transit Assistance apportionments at their October 5, 2017 meeting.

**ATTACHMENTS:**

- A. Apportionment of FY 16/17 State Transit Assistance Funds
- B. Resolution 18-03

## APPORTIONMENT OF STA FUNDS (FY 2016-17)

<b>PUC SECTION 99313 (Population Based Apportionments)</b>				
Apportionment Area	Eligible Claimants	Population	% of Total Population	FY 2016-17 Apportionments
<i>South Coast</i>	Easy Lift			\$38,680
	SBMTD			\$734,927
	Santa Barbara	93,092		
	Carpinteria	13,950		
	Goleta	31,430		
	County	75,588		
	<i>Subtotal:</i>	214,060	47.8568%	<b>\$773,607</b>
<i>Santa Maria Valley</i>	SMOOTH			\$26,673
	Santa Maria	104,567		\$481,523
	Guadalupe	7,358		\$25,262
	County	35,684		
	<i>Subtotal:</i>	147,609	33.0004%	<b>\$533,458</b>
<i>Lompoc Valley</i>	Lompoc	44,180		\$218,703
	County	16,336		
	<i>Subtotal:</i>	60,516	13.5293%	<b>\$218,703</b>
<i>Santa Ynez &amp; Cuyama Valleys</i>	Buellton	4,965		\$17,944
	Solvang	5,457		\$68,308
	County:			\$4,500
	S.Y.Valley Uninc.	13,443		
	Cuyama Valley	1,245		
	<i>Subtotal:</i>	25,110	5.6137%	<b>\$90,752</b>
<b>Total to Claimants</b>		447,295	100.0000%	<b>\$1,616,520</b>

<b>PUC SECTION 99314 (Revenue Based Apportionments)</b>	
Claimant	FY 2016-17 Apportionments
County	\$4,723
Guadalupe	\$3,872
Lompoc	\$51,473
Santa Maria	\$37,605
Solvang	\$4,174
SBMTD	\$465,682
<b>T o t a l</b>	<b>\$567,529</b>

Population figures from DOF (Jan 2016)

Funds are from FY 16-17 but received fully in FY 17-18

# ATTACHMENT B

## RESOLUTION OF THE SANTA BARBARA COUNTY ASSOCIATION OF GOVERNMENTS

ALLOCATION OF FY 2016/17 STATE )  
TRANSIT ASSISTANCE FUNDS IN )  
FISCAL YEAR 2017/18 )

RESOLUTION NO. 18-03

WHEREAS, the Mills-Alquist-Deddeh Act, known as the Transportation Development Act (TDA), was enacted by the California Legislature to improve existing public transportation services and to encourage regional transportation coordination by providing funding to be allocated to transit and non-transit related purposes that comply with regional transportation plans (PUC § 99200 et seq; 21 CCR § 6600 et seq); and

WHEREAS, TDA established two funding sources; the Local Transportation Fund (LTF), and the State Transit Assistance (STA) fund; and

WHEREAS, pursuant to Public Utilities Code<sup>1</sup> section 99312.1, subdivision (a), STA funding can only be used for (1) transit capital projects or services to maintain or repair existing vehicle fleet or facilities, (2) design, acquisition, or construction of new vehicles or facilities, or (3) transit services that complement local efforts for repair and improvement of local transportation infrastructure; and

WHEREAS, pursuant to Public Utilities Code section 99312.1, subdivision (d)(1), Prior to receiving an apportionment of funds pursuant to subdivision (c) from the Controller in a fiscal year, a recipient transit agency shall submit to the Department of Transportation a list of projects proposed to be funded with these funds.

WHEREAS, the Santa Barbara County Association of Governments has created a State Transit Assistance Fund pursuant to Section 99313.6 of the Public Utilities Code to deposit all monies allocated and transmitted to it by the State Controller; and

WHEREAS, FY 2016/17 State Transit Assistance funds in the amount of \$2,184,049 including a carryover balance of STA interest earnings are available for allocation in Fiscal Year 2017/18; and

WHEREAS, eligible agencies have submitted claims for use of these FY 16/17 funds which are available in Fiscal Year 2017/18; and

WHEREAS, the claims submitted by the Santa Barbara Metropolitan Transit District, Easy Lift Transportation Inc., SMOOTH, Solvang (for Santa Ynez Valley Transit), County of Santa Barbara and the cities of Santa Maria, Guadalupe, Lompoc and Buellton have been evaluated

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<sup>1</sup> All section references to the Public Utilities Code unless otherwise indicated.

and found to be consistent with the applicable provisions of the Public Utilities Code and Title 21 of the California Code of Regulations; and

WHEREAS, Easy Lift Transportation, Inc. and SMOOTH are designated as Consolidated Transportation Service Agencies in accordance with PUC 99204.5; and

NOW, THEREFORE IT IS HEREBY RESOLVED THAT the Santa Barbara County Association of Governments as the Transportation Planning Agency for Santa Barbara County does hereby allocate monies from the State Transit Assistance Fund to the following claimants according to the terms and conditions set forth below:

**Allocation No. 18-1-STA**

Allocation: \$ 734,927  
              \$ 465,682  
Purpose: \$ 1,200,609  
Terms & Conditions:

**Santa Barbara Metropolitan Transit District**

(Section 99313.3)  
(Section 99314.3)  
(Transit Capital – 21 CCR § 6730(b))  
Payment shall be made upon receipt of approved documentation supporting the request for funds.

**Allocation No. 18-2-STA**

Allocation: \$ 38,680  
Purpose: \$ 38,680  
Terms & Conditions:

**Easy Lift Transportation Inc.**

(Section 99313.3)  
(Transit CTSA – 21 CCR § 6731.1)  
Payment shall be made upon receipt of approved documentation supporting the request for funds.

**Allocation No. 18-3-STA**

Allocation: \$ 481,523  
              \$ 37,605  
              \$ 519,128  
Purpose: \$ 36,750  
              \$ 482,378  
Terms & Conditions:

**City of Santa Maria**

(Section 99313.3)  
(Section 99314.3)  
  
(Transit Operating – 21 CCR § 6730(a))  
(Transit Capital – 21 CCR § 6730(b))  
Payment shall be made upon receipt of approved documentation supporting the request for funds.

**Allocation No. 18-4-STA**

Allocation: \$ 218,703  
              \$ 51,473  
Purpose: \$ 270,176  
Terms & Conditions:

**City of Lompoc**

(Section 99313.3)  
(Section 99314.3)  
(Transit Capital – 21 CCR § 6730(b))  
Payment shall be made upon receipt of approved documentation supporting the request for funds.

**Allocation No. 18-5-STA**

Allocation: \$ 68,308  
                  \$ 4,174  
Purpose: \$ 72,482  
Terms & Conditions:

**City of Solvang (for SYVT)**

(Section 99313.3)  
(Section 99314.3)  
(Transit Capital – 21 CCR § 6730(b))  
Payment shall be made upon receipt of approved documentation supporting the request for funds.

**Allocation No. 18-6-STA**

Allocation: \$ 17,944  
Purpose: \$ 17,944  
Terms & Conditions:

**City of Buellton**

(Section 99313.3)  
(Contracted Transit Services – 21 CCR § 6731(b))  
Payment shall be made upon receipt of approved documentation supporting the request for funds.

**Allocation No. 18-7-STA**

Allocation: \$ 25,262  
                  \$ 3,872  
Purpose: \$ 29,134  
Terms & Conditions:

**City of Guadalupe**

(Section 99313.3)  
(Section 99314.3)  
(Transit Operating- 21 CCR 6730(a))  
Payment shall be made upon receipt of approved documentation supporting the request for funds.

**Allocation No. 18-8-STA**

Allocation: \$ 26,673  
Purpose: \$ 26,673  
Terms & Conditions:

**SMOOTH**

(Section 99313.3)  
(Transit CTSA – 21 CCR § 6731.1)  
Payment shall be made upon receipt of approved documentation supporting the request for funds.

**Allocation No. 18-9-STA**

Allocation: \$ 4,500  
                  \$ 4,723  
Purpose: \$ 9,223  
Terms & Conditions:

**County of Santa Barbara**

(Section 99313.3)  
(Section 99314.3)  
(Community Transit- 21 CCR 6731(d))  
Payment shall be made upon receipt of approved documentation supporting the request for funds.

BE IT FURTHER RESOLVED THAT the Santa Barbara County Association of Governments, in pursuant to Title 21 of the California Code of Regulations, section 6754, in allocating these funds, finds that:

- 1. Claimants’ proposed expenditures as described in the individual claims are in conformance with the Regional Transportation Plan.
- 2. The level of passenger fares and charges as described in the individual claims is sufficient to enable the claimant to meet the fare revenue requirements of Public Utilities Code

Sections 99268.2, 99268.3, 99268.4, 99268.5 and 99268.9 as they may be applicable to the claimant.

3. The claimants are making full use of federal funds available under the Urban Mass Transportation Act of 1964, as amended.
4. The sum of each claimant's allocations from the State Transit Assistance Fund and from the Local Transportation Fund as described in the individual claims does not exceed the amount the claimant is eligible to receive during the fiscal year.
5. Priority consideration has been given to claims to offset unanticipated increases in the cost of fuel, to enhance existing public transportation services, and to meet high-priority regional, countywide, or area-wide public transportation needs.
6. A certification by the Department of the California Highway Patrol verifying that the operator is in compliance with section 1808.1 of the Vehicle Code, as required in Public Utilities Code section 99251. The certification has been completed within the last 13 months, prior to filing claims.
7. Each operator requesting STA funds for operating purposes has satisfied the qualifying criteria as specified in PUC Section 99314.6.

BE IT FURTHER RESOLVED THAT each operator has made a reasonable effort to implement the productivity improvements recommended pursuant to Public Utilities Code Section 99244. The specific improvements recommended and efforts made by the operators to implement them are described in the individual claims.

BE IT FURTHER RESOLVED THAT the Santa Barbara County Association of Governments, in allocating these funds, finds that:

1. Each operator is receiving from the Local Transportation Fund not less than the amount it received during the 1978-79 fiscal year (21 CCR § 6633.2).
2. The claimants are not precluded from employing part-time drivers or contracting with common carriers of persons operating under a franchise or license (PUC § 99314.5).

PASSED AND ADOPTED this 18<sup>th</sup> day of January 2018 by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

ATTEST:

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Marjie C. Kirn  
Executive Director

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Joan Hartmann, Chair  
Santa Barbara County  
Association of Governments

APPROVED AS TO FORM:

By *Rachel Van Mullem*  
Rachel Van Mullem  
Chief Assistant County Counsel